

**From:** [REDACTED]>  
**To:** "[REDACTED]">  
**Cc:** [REDACTED]>

**Subject:** RE: grand jury subpoena

**Date:** Mon, 30 Sep 2019 23:25:20 +0000

**Attachments:** 2019-08-11,\_subpoena\_to\_TD\_Ameritrade.pdf

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To Whom it May Concern:

Following up on the below, which transmitted the attached subpoena, TD Ameritrade is noncompliant with the subpoena, which required a response by the deadline of August 23, 2019. Additionally, we received a read receipt indicating that the email address [REDACTED]" read the below message the day after it was sent, on Monday, August 12, 2019.

Please advise whether TD Ameritrade intends to remedy its noncompliance and nonresponse to the subpoena or whether we will need to move to compel a response.

thank you,

[REDACTED]  
Assistant U.S. Attorney  
Southern District of New York  
[REDACTED]

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**From:** [REDACTED]>  
**Sent:** Sunday, August 11, 2019 20:57  
**To:** [REDACTED]  
**Cc:** [REDACTED]>  
**Subject:** RE: grand jury subpoena

Please see the attached service of legal process, in connection with a criminal grand jury investigation.

thank you,

[REDACTED]  
Assistant U.S. Attorney  
Southern District of New York  
[REDACTED]

EFTA00021311