



UBS Financial Services Inc.
Private Wealth Management
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New York NY 10171-0002

CNP7005535531 1116 X135 Y1 0

Resource Management Account

November 2016

Account name: GHISLAINE MAXWELL

Friendly account name: Indiv.A45685004

Account number: [REDACTED]

Your Financial Advisor:

SCOTT STACKMAN/LYLE CASRIEL

Phone: 212-821-7000/800-308-3140

Questions about your statement?

Call your Financial Advisor or the
RMA ResourceLine at 800-RMA-1000,
account 029023568.

Visit our website:

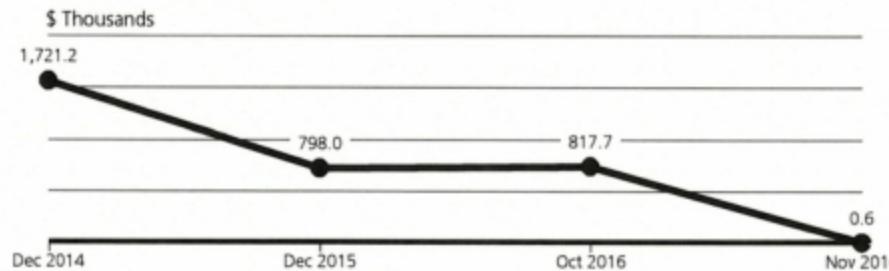
www.ubs.com/financialservices

GHISLAINE MAXWELL
P.O. BOX 308
TEANECK NJ 07666-0308

Value of your account

	on October 31 (\$)	on November 30 (\$)
Your assets	817,718.75	557.14
Your liabilities	0.00	0.00
Value of your account	\$817,718.75	\$557.14

Tracking the value of your account



Sources of your account growth during 2016

Value of your account at year end 2015	\$798,007.41
Net deposits and withdrawals	-\$854,604.16
Your investment return:	
Dividend and interest income	\$19,599.47
Change in market value	\$37,554.42
Value of your account on Nov 30, 2016	\$557.14



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Your account balance sheet

Summary of your assets

	Value on November 30 (\$)	Percentage of your account
A Cash and money balances	557.14	100.00%
B Cash alternatives	0.00	0.00%
C Equities	0.00	0.00%
D Fixed income	0.00	0.00%
E Non-traditional	0.00	0.00%
F Commodities	0.00	0.00%
G Other	0.00	0.00%
Total assets	\$557.14	100.00%

Value of your account **\$557.14**

Your current asset allocation



A

Eye on the markets

Index	Percentage change	
	November 2016	Year to date
S&P 500	3.70%	9.79%
Russell 3000	4.48%	10.58%
MSCI - Europe, Australia & Far East	-1.98%	-1.86%
Barclays Capital U.S. Aggregate Bond Index	-2.37%	2.50%

Interest rates on November 30, 2016

3-month Treasury bills: 0.48%

One-month LIBOR: 0.62%



Change in the value of your account

	November 2016 (\$)	Year to date (\$)
Opening account value	\$817,718.75	\$798,007.41
Deposits, including investments transferred in	0.00	35,660.00
Withdrawals and fees, including investments transferred out	-811,814.80	-890,264.16
Dividend and interest income	2,250.69	19,599.47
Change in market value	-7,597.50	37,554.42
Closing account value	\$557.14	\$557.14

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	November 2016 (\$)	Year to date (\$)
Taxable dividends	2,250.69	19,599.33
Taxable interest	0.00	0.14
Total current year	\$2,250.69	\$19,599.47
Total dividend & interest	\$2,250.69	\$19,599.47

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	November 2016 (\$)	Year to date (\$)	gains and losses (\$)
Long term	0.00	-790.56	0.00

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	November 2016 (\$)	Year to date (\$)
Opening balances	\$806.52	\$92.72
<i>Additions</i>		
Deposits and other funds credited	0.00	35,660.00
Dividend and interest income	2,250.69	19,599.47
Proceeds from investment transactions	0.00	56,154.38
Total additions	\$2,250.69	\$111,413.85
<i>Subtractions</i>		
Professional management fees and related services	557.14	-5,181.84
Other funds debited	-3,057.21	-75,767.59
Funds withdrawn for investments bought	0.00	-30,000.00
Total subtractions	-\$2,500.07	-\$110,949.43
Net cash flow	-\$249.38	\$464.42
Closing balances	\$557.14	\$557.14



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UBS Bank USA Deposit Account APY

Interest period Oct 7 - Nov 6

Opening UBS Bank USA Deposit balance Oct 7	\$38.16
Closing UBS Bank USA Deposit balance Nov 6	\$903.36
Number of days in interest period	31
Average daily balance	\$848.16
Interest earned	\$0.00
Annual percentage yield earned	0.00%

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Capital appreciation

Your risk profile:

Primary - Aggressive

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 30 (\$)	Price per share on Nov 30 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	557.14					
UBS BANK USA DEP ACCT	806.52	0.00					250,000.00
Total	\$806.52	\$557.14					

Your total assets

		Value on Nov 30 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	Cash and money balances	557.14	100.00%	557.14		
Total		\$557.14	100.00%	\$557.14		

Account activity this month

	Date	Activity	Description	Amount (\$)
Dividend and interest income				
<i>Taxable dividends</i>	Nov 1	Dividend	GENL MILLS INC PAID ON 1882	903.36
	Nov 15	Dividend	COLGATE PALMOLIVE CO PAID ON 1508	588.12
	Nov 15	Dividend	PROCTER & GAMBLE CO PAID ON 1134	759.21
	Total taxable dividends			\$2,250.69
Total dividend and interest income				\$2,250.69
<i>Fees</i>	Date	Activity	Description	Amount (\$)
	Nov 30	Refund	PRORATED ADVISORY FEE	557.14
	Total professional management fees			\$557.14
<i>Other funds debited</i>	Date	Activity	Description	Amount (\$)
	Nov 1	Transfer	JOURNAL TO Y1 23575 GHISLAINE MAXWELL	-806.52

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Account activity this month (continued)

	Date	Activity	Description	Amount (\$)
Other funds debited (continued)	Nov 28	Transfer	TO Y1 36506 8200	-2,250.69
			Total other funds debited	-\$3,057.21

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Nov 28	Transfer	OCCIDENTAL PETROLEUM CRP TO Y1 36506 8200	-969.000	-66,269.91				
Nov 28	Transfer	AUTOMATIC DATA PROCESSNG INC TO Y1 36506 8200	-72.000	-6,953.04				
Nov 28	Transfer	BLACKROCK INC TO Y1 36506 8200	-72.000	-26,542.80				
Nov 28	Transfer	COLGATE PALMOLIVE CO TO Y1 36506 8200	-1,508.000	-100,176.44				
Nov 28	Transfer	EOG RESOURCES INC TO Y1 36506 8200	-500.000	-46,735.00				
Nov 28	Transfer	FORTINET INC TO Y1 36506 8200	-2,000.000	-60,480.00				
Nov 28	Transfer	GENL MILLS INC TO Y1 36506 8200	-1,882.000	-116,834.56				
Nov 28	Transfer	AECOM TO Y1 36506 8200	-600.000	-22,182.00				
Nov 28	Transfer	JOHNSON & JOHNSON COM TO Y1 36506 8200	-66.000	-7,466.58				
Nov 28	Transfer	MCDONALDS CORP TO Y1 36506 8200	-1,018.000	-124,012.76				
Nov 28	Transfer	NEXTERA ENERGY INC COM TO Y1 36506 8200	-162.000	-18,840.60				
Nov 28	Transfer	PEPSICO INC TO Y1 36506 8200	-1,154.000	-118,619.66				
Nov 28	Transfer	PROCTER & GAMBLE CO TO Y1 36506 8200	-1,134.000	-94,201.38				

Total

Securities transferred out **-\$809,314.73**

	Date	Activity	Description	Amount (\$)
Money balance activities	Oct 31	Balance forward		\$806.52
	Nov 2	Deposit	UBS BANK USA DEPOSIT ACCOUNT	96.84
	Nov 16	Deposit	UBS BANK USA DEPOSIT ACCOUNT	1,347.33
	Nov 29	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 11/28/16	-2,250.69
	Nov 30	Closing UBS Bank USA Deposit Account		\$0.00

The UBS Bank USA Deposit Account is your primary sweep option.